

## **SEC Rule 242.606**

Gilder Gagnon Howe & Co. LLC

475 Tenth Avenue, 12th Floor

New York, New York 10018

## **Securities traded on the NYSE for the period 7/1/2019 through 9/30/2019**

### *Summary Statistics*

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	NA
Limit orders as a percentage of total non-direct orders	NA
Other orders as a percentage of total non-direct orders	NA

Venues Receiving Significant Percentage of Total Non-Directed

### **Information Concerning Significant Venues**

Gilder, Gagnon Howe & Co. LLC does not receive payment for order flow from any of the venues listed above.

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## Securities traded on the OTC for the period 7/1/2019 through 9/30/2019

### Summary Statistics

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	59.2 %
Limit orders as a percentage of total non-direct orders	25.8 %
Other orders as a percentage of total non-direct orders	15.1 %

### Venues Receiving Significant Percentage of Total Non-Directed

Goldman, Sachs & Co.	31.20 %		
		Market orders as a percentage of total non-direct orders	8.01 %
		Limit orders as a percentage of total non-direct orders	20.24 %
		Other orders as a percentage of total non-direct orders	2.95 %
LiquidNet LQNB	22.57 %		
		Market orders as a percentage of total non-direct orders	17.11 %
		Limit orders as a percentage of total non-direct orders	2.40 %
		Other orders as a percentage of total non-direct orders	3.06 %
International Strategy & Investment Group	11.39 %		
		Market orders as a percentage of total non-direct orders	7.24 %
		Limit orders as a percentage of total non-direct orders	0.56 %
		Other orders as a percentage of total non-direct orders	3.60 %
Sanford Bernstein	9.74 %		
		Market orders as a percentage of total non-direct orders	8.14 %
		Limit orders as a percentage of total non-direct orders	0.28 %
		Other orders as a percentage of total non-direct orders	1.32 %
Morgan Stanley	4.77 %		
		Market orders as a percentage of total non-direct orders	3.24 %
		Limit orders as a percentage of total non-direct orders	0.60 %
		Other orders as a percentage of total non-direct orders	0.94 %

Citigroup Global Markets Inc.	4.21 %	
	Market orders as a percentage of total non-direct orders	3.71 %
	Limit orders as a percentage of total non-direct orders	0.06 %
	Other orders as a percentage of total non-direct orders	0.44 %
Jefferies	2.52 %	
	Market orders as a percentage of total non-direct orders	2.18 %
	Limit orders as a percentage of total non-direct orders	0.29 %
	Other orders as a percentage of total non-direct orders	0.04 %
Credit Suisse Securities (USA)	2.47 %	
	Market orders as a percentage of total non-direct orders	1.24 %
	Limit orders as a percentage of total non-direct orders	0.00 %
	Other orders as a percentage of total non-direct orders	1.24 %
Lehman Brothers	2.22 %	
	Market orders as a percentage of total non-direct orders	1.88 %
	Limit orders as a percentage of total non-direct orders	0.00 %
	Other orders as a percentage of total non-direct orders	0.33 %
JP Morgan Securities	2.15 %	
	Market orders as a percentage of total non-direct orders	1.63 %
	Limit orders as a percentage of total non-direct orders	0.26 %
	Other orders as a percentage of total non-direct orders	0.27 %

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## **Securities traded on the AMEX for the period 7/1/2019 through 9/30/2019**

### *Summary Statistics*

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	NA
Limit orders as a percentage of total non-direct orders	NA
Other orders as a percentage of total non-direct orders	NA

Venues Receiving Significant Percentage of Total Non-Directed

### **Information Concerning Significant Venues**

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## **Exchange-Listed Options for the period 7/1/2019 through 9/30/2019**

### *Summary Statistics*

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	NA
Limit orders as a percentage of total non-direct orders	NA
Other orders as a percentage of total non-direct orders	NA

Venues Receiving Significant Percentage of Total Non-Directed

### **Information Concerning Significant Venues**

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