Gilder Gagnon Howe & Co. LLC 475 Tenth Avenue, 12th Floor New York, New York 10018

### Securities traded on the NYSE for the period 4/1/2018 through 6/30/2018

#### Summary Statistics

Non-directed orders as a percentage of total customer orders 100.0 %

Market orders as a percentage of total non-direct orders NA

Limit orders as a percentage of total non-direct orders NA

Other orders as a percentage of total non-direct orders NA

Venues Receiving Significant Percentage of Total Non-Directed

### **Information Concerning Significant Venues**

Gilder Gagnon Howe & Co. LLC 475 Tenth Avenue, 12th Floor New York, New York 10018

# Securities traded on the OTC for the period 4/1/2018 through 6/30/2018

### Summary Statistics

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	73.5 %
Limit orders as a percentage of total non-direct orders	14.4 %
Other orders as a percentage of total non-direct orders	12.1 %

Venues Receiving Significant Percentage of Total Non-Directed

Sanford Bernstein	23.12 %	
	Market orders as a percentage of total non-direct orders  Limit orders as a percentage of total non-direct orders  Other orders as a percentage of total non-direct orders	16.40 % 4.03 % 2.69 %
International Strategy & Investment Group	21.89 %	
	Market orders as a percentage of total non-direct orders  Limit orders as a percentage of total non-direct orders  Other orders as a percentage of total non-direct orders	15.36 % 4.14 % 2.38 %
Goldman, Sachs & Co.	16.03 %	
	Market orders as a percentage of total non-direct orders  Limit orders as a percentage of total non-direct orders  Other orders as a percentage of total non-direct orders	12.80 % 1.16 % 2.07 %
ITGI Crossing Network	8.72 %	
	Market orders as a percentage of total non-direct orders  Limit orders as a percentage of total non-direct orders  Other orders as a percentage of total non-direct orders	6.41 % 1.31 % 0.99 %
Morgan Stanley	4.93 %	
	Market orders as a percentage of total non-direct orders  Limit orders as a percentage of total non-direct orders  Other orders as a percentage of total non-direct orders	2.35 % 1.90 % 0.68 %

JP Morgan Securities	4.41 %	
	Market orders as a percentage of total non-direct orders	3.03 %
	Limit orders as a percentage of total non-direct orders	0.59 %
	Other orders as a percentage of total non-direct orders	0.80 %
Jefferies	3.59 %	
	Market orders as a percentage of total non-direct orders	3.20 %
	Limit orders as a percentage of total non-direct orders	0.00 %
	Other orders as a percentage of total non-direct orders	0.39 %
Citigroup Global Markets Inc.	3.34 %	
	Market orders as a percentage of total non-direct orders	3.14 %
	Limit orders as a percentage of total non-direct orders	0.20 %
	Other orders as a percentage of total non-direct orders	0.00 %
Credit Suisse Securities (USA)	2.23 %	
	Market orders as a percentage of total non-direct orders	2.02 %
	Limit orders as a percentage of total non-direct orders	0.00 %
	Other orders as a percentage of total non-direct orders	0.21 %
Merrill Lynch	2.11 %	
	Market orders as a percentage of total non-direct orders	1.93 %
	Limit orders as a percentage of total non-direct orders	0.16 %
	Other orders as a percentage of total non-direct orders	0.02 %

# **Information Concerning Significant Venues**

Gilder Gagnon Howe & Co. LLC 475 Tenth Avenue, 12th Floor New York, New York 10018

## Securities traded on the AMEX for the period 4/1/2018 through 6/30/2018

### Summary Statistics

Non-directed orders as a percentage of total customer orders 100.0 %

Market orders as a percentage of total non-direct orders NA

Limit orders as a percentage of total non-direct orders NA
Other orders as a percentage of total non-direct orders NA

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Venues Receiving Significant Percentage of Total Non-Directed

# **Information Concerning Significant Venues**

Gilder Gagnon Howe & Co. LLC 475 Tenth Avenue, 12th Floor New York, New York 10018

# Exchange-Listed Options for the period 4/1/2018 through 6/30/2018

### Summary Statistics

Non-directed orders as a percentage of total customer orders 100.0 % Market orders as a percentage of total non-direct orders 100.0 % Limit orders as a percentage of total non-direct orders 0.0 % Other orders as a percentage of total non-direct orders 0.0 %

Venues Receiving Significant Percentage of Total Non-Directed

RSKY	99.39 %

Market orders as a percentage of total non-direct orders	99.39 %
Limit orders as a percentage of total non-direct orders	0.00 %
Other orders as a percentage of total non-direct orders	0.00 %

RSFI 0.61 %

Market orders as a percentage of total non-direct orders	0.61 %
Limit orders as a percentage of total non-direct orders	0.00 %
Other orders as a percentage of total non-direct orders	0.00 %

# **Information Concerning Significant Venues**