

## **SEC Rule 242.606**

Gilder Gagnon Howe & Co. LLC

475 Tenth Avenue, 12th Floor

New York, New York 10018

## **Securities traded on the NYSE for the period 10/1/2017 through 12/31/2017**

### *Summary Statistics*

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	NA
Limit orders as a percentage of total non-direct orders	NA
Other orders as a percentage of total non-direct orders	NA

Venues Receiving Significant Percentage of Total Non-Directed

### **Information Concerning Significant Venues**

Gilder, Gagnon Howe & Co. LLC does not receive payment for order flow from any of the venues listed above.

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## Securities traded on the OTC for the period 10/1/2017 through 12/31/2017

### Summary Statistics

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	64.4 %
Limit orders as a percentage of total non-direct orders	20.5 %
Other orders as a percentage of total non-direct orders	15.1 %

### Venues Receiving Significant Percentage of Total Non-Directed

Sanford Bernstein	54.28 %		
		Market orders as a percentage of total non-direct orders	36.77 %
		Limit orders as a percentage of total non-direct orders	8.32 %
		Other orders as a percentage of total non-direct orders	9.19 %
Goldman, Sachs & Co.	9.83 %		
		Market orders as a percentage of total non-direct orders	6.94 %
		Limit orders as a percentage of total non-direct orders	1.75 %
		Other orders as a percentage of total non-direct orders	1.14 %
Morgan Stanley	7.23 %		
		Market orders as a percentage of total non-direct orders	2.56 %
		Limit orders as a percentage of total non-direct orders	4.59 %
		Other orders as a percentage of total non-direct orders	0.09 %
International Strategy & Investment Group	3.90 %		
		Market orders as a percentage of total non-direct orders	2.33 %
		Limit orders as a percentage of total non-direct orders	0.57 %
		Other orders as a percentage of total non-direct orders	1.01 %
JP Morgan Securities	3.64 %		
		Market orders as a percentage of total non-direct orders	2.17 %
		Limit orders as a percentage of total non-direct orders	0.82 %
		Other orders as a percentage of total non-direct orders	0.65 %

Lehman Brothers	2.55 %	
	Market orders as a percentage of total non-direct orders	2.45 %
	Limit orders as a percentage of total non-direct orders	0.05 %
	Other orders as a percentage of total non-direct orders	0.05 %
Deutsche Bank Securities	2.43 %	
	Market orders as a percentage of total non-direct orders	0.58 %
	Limit orders as a percentage of total non-direct orders	1.73 %
	Other orders as a percentage of total non-direct orders	0.12 %
Jefferies	2.25 %	
	Market orders as a percentage of total non-direct orders	1.25 %
	Limit orders as a percentage of total non-direct orders	1.00 %
	Other orders as a percentage of total non-direct orders	0.00 %
Sanford Bernstein	2.09 %	
	Market orders as a percentage of total non-direct orders	0.77 %
	Limit orders as a percentage of total non-direct orders	0.31 %
	Other orders as a percentage of total non-direct orders	1.00 %
ITGI Crossing Network	1.82 %	
	Market orders as a percentage of total non-direct orders	0.91 %
	Limit orders as a percentage of total non-direct orders	0.48 %
	Other orders as a percentage of total non-direct orders	0.42 %

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## **Securities traded on the AMEX for the period 10/1/2017 through 12/31/2017**

### *Summary Statistics*

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	NA
Limit orders as a percentage of total non-direct orders	NA
Other orders as a percentage of total non-direct orders	NA

Venues Receiving Significant Percentage of Total Non-Directed

### **Information Concerning Significant Venues**

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## Exchange-Listed Options for the period 10/1/2017 through 12/31/2017

### Summary Statistics

Non-directed orders as a percentage of total customer orders	100.0 %
Market orders as a percentage of total non-direct orders	77.3 %
Limit orders as a percentage of total non-direct orders	22.7 %
Other orders as a percentage of total non-direct orders	0.0 %

### Venues Receiving Significant Percentage of Total Non-Directed

RSKY	64.17 %		
	Market orders as a percentage of total non-direct orders		64.17 %
	Limit orders as a percentage of total non-direct orders		0.00 %
	Other orders as a percentage of total non-direct orders		0.00 %
SMART	35.83 %		
	Market orders as a percentage of total non-direct orders		13.10 %
	Limit orders as a percentage of total non-direct orders		22.73 %
	Other orders as a percentage of total non-direct orders		0.00 %

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